QUALITY REGISTRAR SYSTEMS

CORRECTIVE & PREVENTIVE ACTION REQUEST FORM

Form Rev.02

COMPANY	KURITA AQUACHEMIE - KSA
AUDIT DATE	20/05/2025
AUDITEE NAME	Mr. Raghvendra Kumar
AUDIT TYPE	☐Initial Audit ☐ Surveillance (No. 00) ☒ Re-Assessment ☐Other
STANDARDS	☒ISO 9001:2015 ☒ISO 14001:2015 ☒ ISO 45001:2018 ☐ ISO 22000:2018 ☐ ISO 27001:2022 ☐ ISO 22301:2019

S#	Type Of Finding (NCR, OBS)	NCR/OBS Statement	Criteria's Clause	Proposed Corrective Action
01	MINOR NC - 02	During the audit, it was noticed that customer feedback was not available. However, customer survey reports were evident.	9.1.2 (ISO 9001:2015)	Complied (Customer Survey Questionnaire and results were provided for verification)
02	MINOR NC - 02	The internal audit for the requirements of ISO 9001:2015, ISO 14001:2015, and ISO 14001:2015 was conducted in December; however, the last Internal audit documentation is still pending.	9.2 (ISO 9001:2015, ISO 14001:2015, ISO 45001:2018)	Complied (2025 June Internal audit report was provided for verification)
03	MINOR NC - 02	During the audit, it was noticed that there is no information about environmental aspects and their impact on the surroundings.	6.1.3 (ISO 14001:2015)	Complied (Environmental Impact and aspects assessment was provided)

- Please fill up this form and submit it within 07 working days.
- Evidences of corrective actions implementation of highlighted minor nonconformities (category-02) shall be submitted to us within 4-5 weeks from the date of assessment.
- Evidences of corrective actions implementation of highlighted observations (category-03) will be assessed in the next audit.

04	MINOR NC - 02	During the audit, it was noticed that there is no Antivirus or firewall evident.	7.1.3 (ISO 9001:2015) 6.1.2.2 (ISO 45001:2018) 8.1 (ISO 14001:2015)	Complied (Microsoft Defender was available for the verification)
05	MINOR NC - 02	During the audit, it was noticed that the records of incidents and accidents were not provided.	10.2 (ISO 45001:2018)	Complied (there was no incident or accident reported within the last two years)
06	MINOR NC - 02	During the audit, it was evident that the supplier evaluation procedure was not available for verification. However, supplier evaluations were evidenced during the audit.	8.4 (ISO 9001:2015)	Complied (supplier evaluation forms and supplier evaluation procedure were provided for the verification)
07	MINOR NC - 02	During the audit employee job descriptions were not available.	5.3 (ISO 9001:2015, ISO 14001:2015, ISO 45001:2018)	Complied (Samples for employee JDs provided for verification)
08	MINOR NC - 02	During the audit, it was noticed that there is no documented record of the needs and expectations of interested parties.	4.2 (ISO 9001:2015, 14001:2015, 45001:2018)	Complied (Needs and expectations of interested parties were provided for verification)

Auditor Signature:	Madara Maduwanthi	Auditee Signature:	Raghvendra Kumar
Date:	20/05/2025	Date:	20/05/2025
Verification Comments of Auditor:		Signature:	

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S#	Type Of Finding (NCR, OBS)	NCR/OBS Statement	Criteria's Clause	Proposed Corrective Action
01	OBSERVATION - 03	During the audit, the criteria for the selection of the supplier were not properly implemented.	8.4.1 ISO 9001:2015	Supplier selection procedure will be revised and implemented accordingly & will be shared with QRS
02	OBSERVATION - 03	During the audit, it was verified that the technical personnel's Job descriptions were missing.	5.3 (ISO 9001:2015)	Complied (Job descriptions were provided for verification)
03	OBSERVATION - 03	During the audit, it was observed that objectives were available, but the timeline (start & end) for the monitoring of achievement was not specified.	6.2.2 (ISO 9001:2015, ISO 14001:2015, ISO 45001:2018)	Complied (Action items were provided with target dates)
04	OBSERVATION - 03	During the audit, it was observed that some of the competency training records of the emergency response team (ERT) were missing.	8.2 (ISO 14001:015, ISO 45001:2018)	Complied (ERT Training certificates were provided for verification)

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